

PTO/SB/08A (10-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 3

Complete if Known

Application Number 09/918,697
 Filing Date 07/30/2001
 First Named Inventor Peter C. Craig
 Art Unit 3643
 Examiner Name Price, Richard
 Attorney Docket Number PLI/0007

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GROUP 3600**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 1187990	06/20/1919	Hawkins	
		US- 3204606	09/07/1965	Parr	
		US- 3588166	06/28/1971	Day	
		US- 3744456	07/10/1973	Wheeler	
		US- 4010974	03/08/1977	Day	
		US- 4566404	01/28/1986	Instone	
		US- 4787603	11/29/1988	Norton	
		US- 4836143	06/06/1989	Shadbolt	
		US- 5040490	08/20/1991	DeRocho	
		US- 5170746	12/15/1992	Roose	
		US- 5201498	04/13/1993	Akins	
		US- 5381757	01/17/1995	Putney	
		US- 5651330	07/29/1997	Jewett	
		US- 1636189	07/19/1927	Louden	
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		US- 4557400	12/10/1985	Clarke	
		US- 5275301	01/04/1994	Clive-Smith	
		US- 5761854	06/09/1998	Johnson	
		US- 3530830	09/29/1970	Smith	
		US- 3824958	07/23/1974	Paradv	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		GB 1568072 A	Smith	05/21/1980		
		DE 2302854 A	Kostner	07/25/1974		
		FR 2388491 A1	Leroux	11/24/1978		
		NL 7508284 A	Stigter	01/13/1977		
		SU 793513 A	Arkhipov	01/07/1981		
		GB 2096555 A	Wills	10/20/1982		
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		GB 848257	Brotherton	09/14/1960		
		CA 687590 A	Bramley	06/02/1964		
		DE 2825628 A1	Karlsson	01/11/1979		

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Sheet 2 of 3

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Application Number	09/918,697
Filing Date	07/30/2001
First Named Inventor	Peter C. Craig
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Attorney Docket Number	PI 1/0007

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		Number-Kind Code ² (if known)			
		US-3386600	06/04/1968	Betjemann	
		US-5190179	03/02/1993	Richter	
		US-3459326	08/05/1969	Betjemann	
		US-5671854	09/30/1997	Thomas	
		US-5265748	11/30/1993	Furukawa	
		US-4151925	05/01/1979	Glassmeyer	
		US-4339047	07/13/1982	Johansson	
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		US-3792558	02/19/1974	Berce	
		US-3981410	09/21/1976	Schurch	
		US-5676271	10/14/1997	Reynard	
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		US-5398832	03/21/1995	Clive-Smith	
		US-5823375	10/20/1998	Nessfield	
		US-5810186	09/22/1998	Lam	
		US-5806863	09/15/1998	Heger	
		US-3892202	07/01/1975	Feterl	
		US-3995594	12/07/1976	Rose	

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		Country Code ³	-Number ⁴ -Kind Code ⁵ (if known)				
		SU	1308280 A1	Gereish	05/07/1987		
		WO	93/15601 A1	Mussard	08/19/1993		
		EP	560448 A1	Hasperhoven	09/15/1993		
		GB	245610	Cuthbert	01/14/1926		

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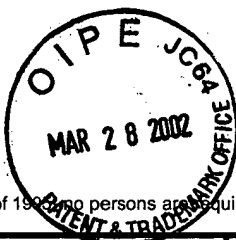
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		Number - Kind Code ² (if known)			
TP		US-747494	12/22/1903	Smith	
		US-286976	10/16/1883	Utley	
		US-729702	06/02/1903	Trachsel	
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		US-4470231	09/11/1984	Lewis	
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		US-4454837	06/19/1984	Luebke	
		US-4084714	04/18/1978	Williams	
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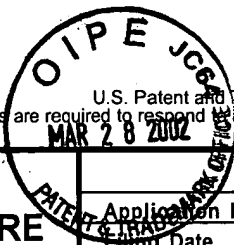
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Sheet 1 of 1

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 First Named Inventor Peter C. Craig
 Group Art Unit 3643
 Examiner Name Price, Richard
 Attorney Docket Number PLI/0007

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Undated photograph showing Modified Enclosed Containers for Shipping Livestock, a.k.a. "Cowtainers".	
	2	TOWER, COURTNEY, Canned Cattle, The Journal of Commerce, Vol. 413, No. 29,048, published September 2, 1997, 3 p.	
	3	Written description of meetings between inventor and Matson employees in Aug. 1997, 1 p.	
	4	Written description of circumstances of first trial run of prototype on September 12, 1997.	
	5	Letter from E.D. Stephens, Manager of Mechanical Engineering of Matson Navigation Company, August 11, 1997, 2 p.	
	6	Confidential Disclosure Agreement signed by Paul Stevens, Senior V.P., Marketing Div. of Matson Navigation Company, effective as of August 8, 1997, 1 p.	
	7	International Standard, Series 1 Freight Containers, December, 1995, Fifth Edition, Switzerland, 668.	
	8	International Standard, Freight Containers, 1981, First Edition, Switzerland, #830.	
	9	International Standard ISO 830-1981/Amendment 1, Freight Containers - Terminology, 1984, Switzerland.	
	10	International Standard, Series 1 Freight Containers - Corner Fittings - Specification, 1984, Fourth Edition, Switzerland, #1161.	
	11	International Standard, Series 1 Freight Containers, Part 5, Platform and Platform-based Containers, Switzerland #1496-5, 1994.	

 Examiner
Signature

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Sheet 1 of 1

Application Number	09/918,697
Filing Date	07/30/2001
First Named Inventor	Peter C. Craig
Group Art Unit	3643
Examiner Name	Price, Richard
Attorney Docket Number	PLI/00007

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[illegible]Date
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**Section 3. Identification of Prior Application in Which Listed Information Was Already Cited
and for Which No Copies Are Submitted or Need Be Submitted**

This application relies, under 35 U.S.C. section 120, on the earlier filing date of prior application SN.: 09/151,992, filed on September 11, 1998.

The following references were submitted to, and/or cited by, the Office in the prior application and, therefore, are not required to be provided in this application:

All of the references cited in the instant Information Disclosure Statement were submitted to, and/or cited by, the Office in prior application Serial No. 09/151,992 and, therefore, are not required to be provided in this application.

Section 4. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: March 28, 2002

Reg. No.: 41694
Tel. No.: 1-510-832-4111
Customer No.: 498



Signature of Practitioner

Charles R. Cypher
Law Offices of James R. Cypher
405 14th Street, Suite 1607
Oakland, CA 94612-2777